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4-4-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : David Ellis et al.

Appln. No. : 09/977,050

Filed : October 12, 2001

For : INTEGRATIVE RISK MANAGEMENT
SYSTEM AND METHOD

Docket No.: S85.12-0001

Group Art Unit: 2161

Examiner:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

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GROUP 3600

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,

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| FORM PTO-1449 | | Atty. Docket No.: S85.12-0001 | Appl. No.: 09/977,050 |
|  <p>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p> | | First Named Inventor: | |
| | | David Ellis et al. | |
| | | Filing Date | Group Art: |
| October 12, 2001 | | 2161 | |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|------------------|--------------|------|-------------|-------|-----------|----------------------------|
| AA | | | | | | |
| AB | | | | | | |
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FOREIGN PATENT DOCUMENTS

| | | Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|--|----|----------------|---------|---------------|-------|-----------|--------------------|
| | AL | GB 2 218 834A | 11/1989 | Great Britain | | | X |
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| | AN | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER: DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.